



Attorney Docket No: IMMR-064/00US

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Danny GRANT

Examiner: Unassigned

Serial No. 10/758,599

Art Unit: 2837

Confirmation No.: 5397

Filed: January 16, 2004

For: **METHOD AND APPARATUS FOR PROVIDING HAPTIC FEEDBACK  
HAVING A POSITION-BASED COMPONENT AND A PREDETERMINED  
TIME-BASED COMPONENT**

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U.S. Patent and Trademark Office  
220 20<sup>th</sup> Street South  
Customer Window, Mail Stop Missing Parts  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
  - ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
  - ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. §1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check in the amount of \$\_\_\_\_\_ for the total fee is attached.
- ☐ A return receipt postcard is also enclosed.
- ☐ Please charge \$\_\_\_ to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.


The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

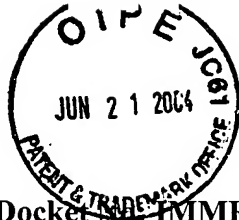
Dated: June 21, 2004

Cooley Godward LLP  
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Respectfully submitted,  
**COOLEY GODWARD LLP**

By:

  
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Erik B. Milch  
Reg. No. 42,887



Attorney Docket No. IMMR-064/00US

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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is provided on the enclosed CD.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.
- ☐ Publication(s) \_\_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_\_ application serial no. and mailed on \_\_\_.
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).

- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. English language publication \_\_\_\_ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) \_\_\_\_ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) \_\_\_\_\_ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. \_\_\_\_\_.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.


It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

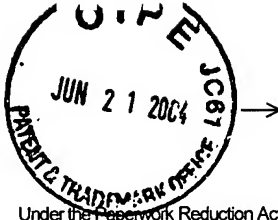
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Erik B. Milch  
Reg. No. 42,887



PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 10**Complete if Known**

Application Number	10/758,599
Filing Date	January 16, 2004
First Named Inventor	Danny Grant
Art Unit	2837
Examiner Name	
Attorney Docket Number	IMMR064/00 US

**U.S. PATENT DOCUMENTS**

Examiner	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
		2,972,140	2/14/1961	Hirsch	
		3,157,853	11/17/1964	Hirsch	
		3,220,121	11/30/1965	Cutler	
		3,497,668	2/24/1970	Hirsch	
		3,517,446	6/30/1970	Corlyon et al.	
		3,623,064	11/23/1970	Kagan	
		3,902,687	6/25/1973	Hightower	
		3,903,614	9/9/1975	Diamond et al	
		3,911,416	10/7/1995	Feder	
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		4,127,752	11/28/1978	Lowthorp	
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		4,713,007	12/15/1987	Alban	
		4,787,051	11/1988	Olson	
		4,794,392	12/27/1988	Selinko	
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		4,868,549	09/1989	Affinito et al.	
		4,885,565	12/5/1989	Embach	
		4,891,764	1/2/1990	McIntosh	
		4,896,554	01/1990	Culver	
		4,930,770	6/5/1990	Baker	
		4,934,694	6/19/1990	McIntosh	
		4,949,119	08/1990	Moncrief et al.	
		5,019,761	5/28/1991	Kraft	
		5,022,384	6/11/1991	Freels	

Examiner  
SignatureDate  
Considered

<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet **2** of **10****Complete if Known**

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		Number Kind Code <sup>2</sup> (if known)			
		5,022,407	6/11/1991	Horch et al.	
		5,035,242	7/30/1991	Franklin	
		5,038,089	8/6/1991	Szakaly	
		5,044,956	09/1991	Behensky et al.	
		5,078,152	1/7/1992	Bond	
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		5,146,566	09/1992	Hollis, Jr. et al.	
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		5,203,563	04/1993	Loper, III	
		5,212,473	5/18/1993	Louis	
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		5,240,417	8/31/1993	Smithson et al.	
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		5,451,924	09/1995	Massimino et al.	
		5,466,213	11/14/1995	Hogan	

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		Number Kind Code <sup>2</sup> (if known)			
		5,506,605	04/1996	Paley	
		5,542,672	08/1996	Meredith	
		5,547,382	8/20/1996	Yamasaki	
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		5,802,353	09/1998	Avila et al.	
		5,825,308	10/1998	Rosenberg	
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		5,880,714	03/1999	Rosenberg et al.	
		5,889,672	03/1999	Schuler et al.	

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Sheet **4** of **10****Complete if Known**

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		Number Kind Code <sup>2</sup> (if known)			
		5,897,437	04/1999	Nishiumi et al.	
		5,912,661	06/1999	Siddiqui	
		5,914,705	06/1999	Johnson et al.	
		5,944,151	08/1999	Jakobs et al.	
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		6,160,489	12/12/2000	Perry et al.	
		6,184,868	02/2001	Shahoian et al.	
		6,211,861	04/2001	Rosenberg et al.	
		6,219,034	04/2001	Elbing et al.	
		6,243,078	06/2001	Rosenberg	
		6,271,833	08/2001	Rosenberg	
		6,422,941	7/23/2002	Thorner et al.	
		6,448,977	09/2002	Braun et al.	
		6,469,692	10/2002	Rosenberg	
		6,563,487	05/2003	Martin et al.	

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SignatureDate  
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Sheet 5

of 10

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First Named Inventor	Danny Grant
Art Unit	2837
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Attorney Docket Number	IMMR064/00 US

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		EP	0349086		1/3/1990	Stork Kwant B.V.	
		JP	H2-185278		7/19/1990	Taito Corporation	
		JP	H4-8381		1/13/1992	Epoch Co. and Key- Planning Co.	
		JP	H7-24147		1/27/1995	Sega Corporation	
		JP	H5-192449		8/3/1993	Taito Corporation	
		EP	0 265 011 A1		04/1988		
		EP	0 607 580 A1		07/1994		
		EP	0 626 634 A2		11/1994		
		WO	WO 92/00559		01/1992		
		WO	WO 95/20788		08/1995		
		WO	WO 97/31333		08/1997		
		WO	WO 00/03319		01/2000		

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
		BAIGRIE, "Electric Control Loading - A Low Cost, High Performance Alternative," <i>Proceedings of Interservice/Industry Training Systems Conference</i> , pp. 247-254, November 6-8, 1990.	
		IWATA, "Pen-based Haptic Virtual Environment," 0-7803-1363-1/93 IEEE, pp 287-292, 1993.	
		RUSSO, "The Design and Implementation of a Three Degree of Freedom Force Output Joystick," MIT Libraries Archives, pp. 1-131, May 1990, archived 08/14/1990.	
		BROOKS et al., "Hand Controllers for Teleoperation - A State-of-the-Art Technology Survey and Evaluation," JPL Publication 85-11; NASA-CR-175890; N85-28559, pp. 1-84, 03/1/1985.	
		JONES et al., "A perceptual analysis of stiffness," ISSN 0014-4819 Springer International (Springer-Verlag); <i>Experimental Brain Research</i> , Vol. 79, No. 1, pp. 150-156, 1990.	
		BURDEA et al., "Distributed Virtual Force Feedback, Lecture Notes for Workshop on Force Display in Virtual Environments and its Application to Robotic Teleoperation," 1993 <i>IEEE International Conference on Robotics and Automation</i> , pp. 25-44, 05/02/1993.	

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Sheet **6** of **10****Complete if Known**

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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
			SNOW et al., "Model-X Force-Reflecting-Hand-Controller," NT Control No. NPO-17851; JPL Case No. 7348, pp. 1-4, with 45 pages of attachments, 06/15/1989.				
			OUH-YOUNG, "Force Display in Molecular Docking," Doctoral Dissertation, University of North Carolina at Chapel Hill, UMI Order No. 9034744, pp. 1-369, 1990.				
			TADROS, "Control System Design for a Three Degree of Freedom Virtual Environment Simulator Using Motor/Brake Pair Actuators, <i>MIT Archive</i> , pp. 1-88, February 1990, archived 8/13/90.				
			CALDWELL et al., "Enhanced Tactile Feedback (Tele-Taction) Using a Multi-Functional Sensory System," 1050-4729/93, pp. 955-960, 1993.				
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet **7** of **10****Complete if Known**

Application Number	10/758,599
Filing Date	January 16, 2004
First Named Inventor	Danny Grant
Art Unit	2837
Examiner Name	
Attorney Docket Number	IMMR064/00 US

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
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Sheet **8** of **10****Complete if Known**

Application Number	10/758,599
Filing Date	January 16, 2004
First Named Inventor	Danny Grant
Art Unit	2837
Examiner Name	
Attorney Docket Number	IMMR064/00 US

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			Filing Date	January 16, 2004	
			First Named Inventor	Danny Grant	
			Art Unit	2837	
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Sheet	9	of	10	Attorney Docket Number	IMMR064/00 US

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